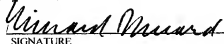


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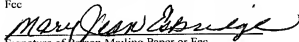
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JC10 Rec'd PCT/PTO 14 MAR 2002

FORM PTO 1100 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADE MARK OFFICE	ATTORNEY'S DOCKET NUMBER 6573-70152
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.3) Unknown/ 088127
INTERNATIONAL APPLICATION NO. PCT/US00/25349	INTERNATIONAL FILING DATE 15 September 2000	PRIORITY DATE CLAIMED 15 September 1999	
TITLE OF INVENTION DETERMINING PHASE LINEARITY IN CATV DISTRIBUTION SYSTEMS			
APPLICANT(S) FOR DO/EO/US EMSLEY, Brett W.; RODGERS, Gregg Stephen			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (2 sheets)</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Copies of PCT International Search Report and references cited therein</p>			

U.S. APPLICATION NO. (if known) Unknown		INTERNATIONAL APPLICATION NO. PCT/US00/25349		ATTORNEY'S DOCKET NUMBER 6573-70152	
21. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
				\$ 710.00	
				\$ -0-	
				\$ 540.00	
				\$ -0-	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ -0-	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	50 -20 -	30	x \$18.00	\$ 540.00	
Independent claims	1 -3 -	-0-	x \$84.00	\$ -0-	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$ -0-
TOTAL OF ABOVE CALCULATIONS =				\$ 1250.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2				\$ 625.00	
SUBTOTAL =				\$ 625.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -0-	
TOTAL NATIONAL FEE =				\$ 625.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ -0-	
TOTAL FEES ENCLOSED =				\$ 625.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 625.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435A A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: CONARD, Richard D. BARNES & THORNBURG 11 South Meridian Street Indianapolis, IN 46204 US					
				 SIGNATURE Richard D. CONARD NAME 27321 REGISTRATION NUMBER	

BARNES & THORNBURG**JC13 Rec'd PCT/PTO 14 MAR 2002**11 South Meridian Street
Indianapolis, Indiana 46204
(317) 236-1313PATENT APPLICATION*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

<i>Group</i>	Unknown	}	<u>Certificate Under 37 CFR 1.10</u>
<i>Attorney</i>		}	Express Mail Label No. <u>EL230048550US</u>
<i>Docket</i>	6573-70152	}	Date of Deposit: <u>14 March 2002</u>
<i>Applicant</i>	EMSLEY, Brett W.; RODGERS, Gregg Stephen	}	I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231
<i>Invention</i>	DETERMINING PHASE LINEARITY IN CATV DISTRIBUTION SYSTEMS	}	<u>Mary Jean Eskridge</u>
<i>U.S. Serial No</i>	Unknown	}	Typed or Printed Name of Person Mailing Paper or Fee
<i>International Serial No</i>	PCT/US00/25349	}	
<i>International Filing Date</i>	15 September 2000 (15.09.00)	}	Signature of Person Mailing Paper or Fee
<i>Earliest Priority Date</i>	15 September 1999 (15.09.99)	}	

FIRST PRELIMINARY AMENDMENT

Attention: DO/EO/US
Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Preliminary to the examination of the above-identified national patent application
submitted herewith, applicants request entry of the following amendments.

Abstract

Please enter the Abstract of the disclosure submitted as a separate paper herewith.

In the Description

After the title, please insert the following paragraph:

Cross-References to Related Applications

This application is a U.S. national counterpart application of international application serial No. PCT/US00/25349 filed September 15, 2000, which claims priority to U.S. application serial no. 60/154,131 filed September 15, 1999.

In the Claims

Please amend claims 5-9, 11, 12, 14, 16, 17, 21, 25, 30-37, 39, 42, 46 and 50 as follows.

5. (Amended) The apparatus of claim 1 wherein the first device includes a generator for generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and the second device is capable of tuning across a range of frequencies produced by the generator and processing information from the tuned frequencies.

6. (Amended) The apparatus of claim 1 wherein the first device includes a first digital signal processor (DSP).

7. (Amended) The apparatus of claim 1 wherein the first device includes a direct digital synthesizer (DDS).

8. (Amended) The apparatus of claim 1 wherein the first device includes a first local oscillator, a first mixer coupled to receive an output of the first mixer, and a first filter coupled to receive an output of the first mixer.

9. (Amended) The apparatus of claim 1 wherein the second device includes a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

11. (Amended) The apparatus of claim 9 wherein the second filter includes a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower frequency components of the test signals and higher than higher frequency components of the test signals.

12. (Amended) The apparatus of claim 1 wherein the second device is capable of employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

14. (Amended) The apparatus of claim 1 wherein at least one of the first and second devices includes a device for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

16. (Amended) The apparatus of claim 14 wherein said one of the first and second devices includes a device for transmitting the synchronizing signal on the network.

17. (Amended) The apparatus of claim 1 wherein at least one of the first and second devices is further adapted for transmitting information related to the determined phase relationships through the network to at least the other of the first and second devices.

21. (Amended) The apparatus of claim 1 wherein at least one of the first and second devices is further adapted for determining the amplitudes of the received test signals.

25. (Amended) The apparatus of claim 1 wherein the second device further includes a user interface for displaying an output related to the determined phase relationship.

30. (Amended) The method of claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network tuning across a range of frequencies and processing information from the tuned frequencies.

31. (Amended) The method of claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network with a first digital signal processor (DSP) test signals spaced at known frequency intervals and with predictable phase relationships.

32. (Amended) The method of claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network with a direct digital synthesizer (DDS) test signals spaced at known frequency intervals and with predictable phase relationships.

33. (Amended) The method of claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network using a first local oscillator, a first mixer coupled to receive an output of the first local oscillator, and a first filter coupled to receive an output of the first mixer test signals spaced at known frequency intervals and with predictable phase relationships.

34. (Amended) The method of claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

35. (Amended) The method of claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a digital signal processor (DSP) capable of fast Fourier transforming (FFTing) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

36. (Amended) The method of claim 26 wherein using a filter includes using a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower

frequency components of the test signals and higher than higher frequency components of the test signals.

37. (Amended) The method of claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

39. (Amended) The method of claim 26 further including producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

42. (Amended) The method of claim 26 further including transmitting information related to the determined phase relationships through the network from one of the first and second devices to the other of the first and second devices.

46. (Amended) The method of claim 26 further including determining the amplitudes of the received test signals.

50. (Amended) The method of claim 26 further including displaying an output related to the determined phase relationship.

REMARKS

This Preliminary Amendment is being submitted to indicate the relationship of the subject U.S. national application to previously filed applications as required under 37 C.F.R. 1.78, to delete multiply dependent claims, and to better conform the application to U.S. practice.

No amendment is believed to go beyond the disclosure in the international application as originally filed.

With the entry of the foregoing amendments, the application is believed to be in condition for examination and allowance. Consideration of the claims, leading to their allowance and passage of the application to issuance, is respectfully requested.

Respectfully submitted,



Richard D. CONARD
Atty. Reg. No. 27321
Attorney for Applicants

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Indianapolis, Indiana 46204
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Appendix A
Marked-Up Version of Claim(s)

5. (Amended) The apparatus of [any preceding] claim 1 wherein the first device includes a generator for generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and the second device is capable of tuning across a range of frequencies produced by the generator and processing information from the tuned frequencies.

6. (Amended) The apparatus of [any preceding] claim 1 wherein the first device includes a first digital signal processor (DSP).

7. (Amended) The apparatus of [any preceding] claim 1 wherein the first device includes a direct digital synthesizer (DDS).

8. (Amended) The apparatus of [any preceding] claim 1 wherein the first device includes a first local oscillator, a first mixer coupled to receive an output of the first mixer, and a first filter coupled to receive an output of the first mixer.

9. (Amended) The apparatus of [any preceding] claim 1 wherein the second device includes a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

11. (Amended) The apparatus of claim 9 [or 10] wherein the second filter includes a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower frequency components of the test signals and higher than higher frequency components of the test signals.

12. (Amended) The apparatus of [any preceding] claim 1 wherein the second device is capable of employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

14. (Amended) The apparatus of [any preceding] claim 1 wherein at least one of the first and second devices includes a device for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

16. (Amended) The apparatus of claim 14 [or 15] wherein said one of the first and second devices includes a device for transmitting the synchronizing signal on the network.

17. (Amended) The apparatus of [any preceding] claim 1 wherein at least one of the first and second devices is further adapted for transmitting information related to the determined phase relationships through the network to at least the other of the first and second devices.

21. (Amended) The apparatus of [any preceding] claim 1 wherein at least one of the first and second devices is further adapted for determining the amplitudes of the received test signals.

25. (Amended) The apparatus of [any preceding] claim 1 wherein the second device further includes a user interface for displaying an output related to the determined phase relationship.

30. (Amended) The method of claim 26 [, 27, 28 or 29] wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network tuning across a range of frequencies and processing information from the tuned frequencies.

31. (Amended) The method of [any of claims 26 to 30] claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network with a first digital signal processor (DSP) test signals spaced at known frequency intervals and with predictable phase relationships.

32. (Amended) The method of [any of claims 26 to 31] claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network with a direct digital synthesizer (DDS) test signals spaced at known frequency intervals and with predictable phase relationships.

33. (Amended) The method of [any of claims 26 to 32] claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing on the network using a first local oscillator, a first mixer coupled to receive an output of the first local oscillator, and a first filter coupled to receive an output of the first mixer test signals spaced at known frequency intervals and with predictable phase relationships.

34. (Amended) The method of [any of claims 26 to 33] claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

35. (Amended) The method of [any of claims 26 to 34] claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a digital signal processor (DSP) capable of fast Fourier transforming (FFTing) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

36. (Amended) The method of [any of claims 26 to 35] claim 26 wherein using a filter includes using a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower frequency components of the test signals and higher than higher frequency components of the test signals.

37. (Amended) The method of [any of claims 26 to 36] claim 26 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and

determining from the comparison the phase linearity of the network includes employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

39. (Amended) The method of [any of claims 26 to 38] claim 26 further including producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

42. (Amended) The method of [any of claims 26 to 41] claim 26 further including transmitting information related to the determined phase relationships through the network from one of the first and second devices to the other of the first and second devices.

46. (Amended) The method of [any of claims 26 to 45] claim 26 further including determining the amplitudes of the received test signals.

50. (Amended) The method of [any of claims 26 to 49] claim 26 further including displaying an output related to the determined phase relationship.

ABSTRACT OF THE DISCLOSURE

An apparatus for testing the phase linearity of a network comprises a first local oscillator, a first mixer, and a first filter, a second local oscillator, and a second mixer for mixing the first IF to the desired output frequency. The output of the second mixer is coupled to a second filter which passes the desired frequency band, but rejects the high frequency mixing harmonics produced in the second mixer. The output of the second filter is coupled to a variable gain amplifier capable of amplifying the frequency band of interest to the desired level and a third filter which further rejects unwanted high frequencies in the output.

a/pdb

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DETERMINING PHASE LINEARITY IN CATV DISTRIBUTION SYSTEMSField of the Invention

- 5 This invention relates to methods and apparatus for determining phase linearity in networks. It is disclosed in the context of methods and apparatus for determining the phase linearity of CATV systems, but is believed to have applications to determining the phase linearity of other types of networks as well.

Background of the Invention

- 10 Cable television distribution systems typically include a site called a head end where programming material is obtained and modulated onto appropriate carriers. The carriers are then combined for distribution to subscribers over what is typically referred to as the forward path. Signals coming from subscribers in a two way or "interactive" CATV system are typically routed in what is called the return
15 path, and are received and routed to appropriate service providers. CATV signals are distributed and collected on a broadband network. CATV signals typically are transported from head end to subscriber and vice versa as RF or, in hybrid systems including optical transmission portions, RF is converted to light for fiber optic transmission over some portions of the signal path and as RF over other portions of
20 the signal path. This process generally is bi-directional in the case of a hybrid two way CATV system. Depending on the particular architecture of the CATV plant there may exist intermediate signal processing locations.

- The distribution for CATV is typically made up of conductors such as coaxial cable and optical fiber with periodic amplifiers to make up for signal loss due
25 to a number of factors including, without limitation, imperfections in the conductors, splitting of the signal during distribution, and so on. In two-way systems, losses of the same general nature occur in the return path.

- Of particular importance when transmitting signals in a CATV system is that the signals arrive at useful amplitudes. Among the other important
30 performance criteria for a CATV system is that it not introduce excessive noise or produce distortion in the amplitude and/or phase of the signals. Methods currently exist for measuring these and other defects. For example, signal amplitude has

traditionally been measured with a spectrum analyzer or calibrated tunable receiver called a signal level meter. Noise has sometimes been measured by temporarily removing a carrier and measuring the residual noise in the channel previously occupied by that carrier, or by making a noise measurement at an unoccupied frequency close to a frequency of interest.

Amplitude versus frequency distortion has sometimes been measured by inserting a moving, or swept, test carrier at one end of the system and observing it on (a) receiver(s) at various locations in the system, or by using carriers already on the system, possibly with some added carriers to provide a discrete series of frequency test measurements from which the continuous frequency response of the system can be inferred. Some television signals on CATV systems may also contain imbedded test signals called VITS (Vertical Interval Test Signals) which are added to standard TV signals during the vertical blanking interval. VITS contain bursts of several frequencies within the TV signal's bandwidth, which may be used for approximation of amplitude versus frequency response within the TV signal's occupied bandwidth.

Phase distortion within a TV signal's bandwidth can be measured using a so-called 2T pulse, which is a sine squared pulse located within the vertical interval. By demodulating the TV signal and observing the shape of the 2T pulse at various locations within the system, phase distortion can be approximated although the phase non-linearity versus frequency within the TV signal's bandwidth is not known.

At frequencies at which 2T pulses are not employed, or with non-TV signals, there is no simple method for measuring phase distortion. This application describes a convenient and simple-to-use system for measuring phase distortion in a CATV system.

Disclosure of the Invention

According to one aspect of the invention, apparatus for testing the phase linearity of a network includes a first device for producing test signals spaced at known frequency intervals and with predictable phase relationships and a second device for receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and

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determining the phase linearity from the comparison, the first and second devices being adapted for coupling to the network.

Illustratively according to this aspect of the invention, the first device is capable of producing a first test signal having a first center frequency, first lower frequency spectral components, and first higher frequency spectral components, and the second device is capable of determining phase relationships for multiple spectral components of the first test signal.

Illustratively according to this aspect of the invention, the first device is capable of producing a second test signal having a second center frequency, second lower frequency spectral components, and second higher frequency spectral components, and the second device is capable of determining phase relationships for multiple spectral components of the second test signal.

Further illustratively according to this aspect of the invention, the second device is capable of comparing phase relationships among multiple frequency components of the first and second test signals to determine the phase linearity of the network.

Additionally illustratively according to this aspect of the invention, the first device includes a generator for generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and the second device is capable of tuning across the range of frequencies produced by the generator and processing information from the tuned frequencies.

Illustratively according to this aspect of the invention, the first device further includes a first digital signal processor (DSP).

Further illustratively according to this aspect of the invention, the first device further includes a direct digital synthesizer (DDS).

Additionally illustratively according to this aspect of the invention, the second device is capable of employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

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Illustratively according to this aspect of the invention, the second device includes a table containing values of the Bessel function for use in evaluating the Bessel function.

Further illustratively according to this aspect of the invention, the first
5 device includes a first local oscillator, a first mixer, and a first filter.

Additionally illustratively according to this aspect of the invention, the second device includes a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

Illustratively according to this aspect of the invention, the second filter
10 includes a bandpass filter having a center frequency substantially equal to the output of the generator and a sufficiently narrow bandwidth to reject frequencies lower than the lower frequency components and higher than the higher frequency components.

Further illustratively according to this aspect of the invention, the second device further includes a digital signal processor (DSP) capable of fast Fourier
15 transforming (FFTING) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

Additionally illustratively according to this aspect of the invention, the second device further includes a user interface for displaying an output related to the determined phase relationship.

20 Illustratively according to this aspect of the invention, at least one of the first and second devices includes a device for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

Further illustratively according to this aspect of the invention, the first
25 and second devices include information concerning the test frequencies, the synchronizing signals causing the first and second devices to transmit and receive multiple test frequencies in an established sequence.

Additionally illustratively according to this aspect of the invention, the said one of the first and second devices includes a device for transmitting the
30 synchronizing signal on the network.

Illustratively according to this aspect of the invention, at least one of the first and second devices is further adapted for transmitting information related to

the determined phase relationships through the network to at least the other of the first and second devices.

Further illustratively according to this aspect of the invention, the at least one of the first and second devices adapted for transmitting information related to the determined phase relationships through the network is adapted for transmitting the information through a network channel dedicated to the transmission of the information.

Additionally illustratively according to this aspect of the invention, the at least one of the first and second devices adapted for transmitting the information through a network channel dedicated to the transmission of the information includes a device adapted for transmitting the information by frequency shift keying (FSK).

Illustratively according to this aspect of the invention, at least one of the first and second devices is further adapted for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals, the information related to the determined phase relationships being transmitted in the same channel as the synchronizing signal.

Further illustratively according to this aspect of the invention, at least one of the first and second devices is further adapted for determining the amplitudes of the received test signals.

Additionally illustratively according to this aspect of the invention, the at least one of the first and second devices adapted for determining the amplitudes of the received test signals is further adapted for comparing the amplitudes of the received test signals to each other to determine variation of the amplitude of the frequency response characteristic across the frequencies contained in the test signals.

Illustratively according to this aspect of the invention, the at least one of the first and second devices adapted for determining the amplitudes of the received test signals is further adapted for comparing the amplitudes of the received test signals to the amplitudes of the transmitted test signals.

Further illustratively according to this aspect of the invention, the other of the at least one of the first and second devices is further adapted for transmitting an indication of the amplitudes of the transmitted test signals.

According to another aspect of the invention, a method for testing the phase linearity of a network, the method including producing on the network test signals spaced at known frequency intervals and with predictable phase relationships, receiving the signals from the network, determining their phase relationships,

- 5 comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network.

Illustratively according to this aspect of the invention, producing test signals spaced at known frequency intervals and with predictable phase relationships includes producing a first test signal having a first center frequency, first lower
10 frequency spectral components, and first higher frequency spectral components, and determining the phase relationships of the test signals includes determining phase relationships for multiple spectral components of the first test signal.

Illustratively according to this aspect of the invention, producing test signals spaced at known frequency intervals and with predictable phase relationships
15 includes producing a second test signal having a second center frequency, second lower frequency spectral components, and second higher frequency spectral components, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase
20 linearity of the network includes determining phase relationships for multiple spectral components of the second test signal.

Further illustratively according to this aspect of the invention, determining the phase relationships of the test signals, comparing the determined phase relationships to expected phase relationships among the test signals, and
25 determining from the comparison the phase linearity of the network include comparing the phase relationships among multiple frequency components of the first and second test signals to determine the phase linearity of the network.

Additionally illustratively according to the present invention, producing on the network test signals spaced at known frequency intervals and with
30 predictable phase relationships includes generating a test signal, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and

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determining from the comparison the phase linearity of the network include tuning across the test signal frequency range and processing information from the tuned frequencies.

5 Illustratively according to this aspect of the invention, producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing with a first digital signal processor (DSP) test signals spaced at known frequency intervals and with predictable phase relationships on the network.

10 Further illustratively according to this aspect of the invention, producing on the network test signals spaced at known frequency intervals and with predictable phase relationships includes producing with a direct digital synthesizer (DDS) test signals spaced at known frequency intervals and with predictable phase relationships on the network.

15 Additionally illustratively according to this aspect of the invention, receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network include employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships
20 to expected phase relationships among the signals, and determining the phase linearity from the comparison.

Illustratively according to this aspect of the invention, employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase
25 relationships among the signals, and determining the phase linearity from the comparison includes employing a table containing values of the Bessel function for use in evaluating the Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity
30 from the comparison.

Further illustratively according to this aspect of the invention, producing on the network test signals spaced at known frequency intervals and with

predictable phase relationships includes providing a first local oscillator, a first mixer coupled to receive an output of the first local oscillator, and a first filter coupled to receive an output of the first mixer.

Additionally illustratively according to this aspect of the invention,
5 receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network include providing a second mixer for mixing the received test signal with an output from a second local oscillator, and a second filter coupled to receive an output from
10 the second mixer.

Illustratively according to this aspect of the invention, providing a second filter includes providing a bandpass filter having a center frequency substantially equal to a center frequency of the test signal and a sufficiently narrow bandwidth to reject frequencies lower than the lower frequency components and
15 higher than the higher frequency components.

Further illustratively according to this aspect of the invention, receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network
20 include providing a digital signal processor (DSP) capable of fast Fourier transforming (FFTing) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

Additionally illustratively according to this aspect of the invention, receiving the signals from the network, determining their phase relationships,
25 comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network include providing a user interface for displaying an output related to the determined phase relationship.

Illustratively according to this aspect of the invention, the method
30 further includes producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

Further illustratively according to this aspect of the invention, the method includes providing in the first and second devices information concerning the test frequencies to cause the first and second devices to transmit and receive multiple test frequencies in an established sequence.

5 Additionally illustratively according to this aspect of the invention, the method includes transmitting the synchronizing signal on the network.

 Further illustratively according to this aspect of the invention, the method includes transmitting information related to the determined phase relationships through the network from one of the first and second devices to the other
10 of the first and second devices.

 Illustratively according to this aspect of the invention, transmitting information related to the determined phase relationships through the network from one of the first and second devices to the other of the first and second devices includes transmitting the information through a network channel dedicated to the transmission
15 of the information.

 Additionally illustratively according to this aspect of the invention, transmitting the information through a network channel dedicated to the transmission of the information includes transmitting the information by frequency shift keying (FSK).

20 Further illustratively according to this aspect of the invention, the method includes producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals, transmitting information related to the determined phase relationships through the network including transmitting the information related to the
25 determined phase relationships in the same channel as the synchronizing signal.

 Further illustratively according to this aspect of the invention, the method includes determining the amplitudes of the received test signals.

 Further illustratively according to this aspect of the invention, the method includes comparing the amplitudes of the received test signals to each other to
30 determine variation of the amplitude of the frequency response characteristic across the frequencies contained in the test signals.

Further illustratively according to this aspect of the invention, the method includes comparing the amplitudes of the received test signals to the amplitudes of the transmitted test signals.

Further illustratively according to this aspect of the invention, the
5 method includes transmitting an indication of the amplitudes of the transmitted test signals.

Brief Description of the Drawings

The invention may best be understood by referring to the following
10 detailed description and accompanying drawings which illustrate the invention. In the drawings:

Fig. 1 illustrates an embodiment of a component of a system according to the present invention;

Fig. 2 illustrates an embodiment of another component of a system
15 according to the present invention;

Fig. 3 illustrates another embodiment of a component of a system according to the present invention; and,

Fig. 4 illustrates another embodiment of a component of a system according to the present invention.

20

Detailed Descriptions of Illustrative Embodiments

A system constructed according to the present invention includes two elements. The first element includes a signal source capable of being modulated in amplitude, frequency, phase, or some combination of amplitude, frequency and phase
25 that produces a signal with spectral elements spaced at known frequency intervals and with predictable phase relationships. The second element includes a receiving device that can receive the just described signal and determine the phase relationships of its various spectral elements. The phase relationships can then be compared to the expected relationships and the phase distortion determined.

30 By suitable placement of the test signal's spectral elements, several points within its bandwidth can be obtained. The method can be extended to wider bandwidths by setting the test signal to a center frequency so that the lowest

frequency spectral element of the test signal is placed at or near the lowest desired measurement frequency, and noting the phase relationships for each spectral element of the test signal. The test signal can then be moved to a second center frequency such that one or more of the highest spectral elements for the first carrier frequency will be at or near one or more of the lower frequency spectral elements of the second test carrier frequency. Because the phase distortion should be equal for the spectral elements of the first and second test signals which occurred at or near the same frequency, the phase distortion over the combined frequency range can be found. This method may be extended to cover any desired measurement bandwidth by using additional test signal center frequencies as described.

One possible means of implementing the invention is to use a single tone FM generator as the transmitter, and a receiver capable of tuning to the test signal and processing the incoming data. Single tone FM is generated from the following equation:

$$\text{Single Tone FM} = A_c * \cos \{2 * \pi * F_c * t + \beta * \sin (2 * \pi * F_m * t)\}$$

Where: A_c is the amplitude of the test signal;

F_c is the center frequency, or carrier frequency, of the test signal;

β is the modulation index; and,

F_m is the modulation frequency.

Fig. 1 illustrates a transmitter that is capable of producing single tone FM. A digital signal processor (DSP) 101 generates the test signal in digital form and transmits the digital data to a direct digital synthesizer (DDS) 102. The DDS 102 produces a single tone FM signal whose sidebands' amplitudes and phases have predictable relationships with the carrier. The frequency response of a system to single tone FM can be predicted from the Bessel function of the first kind of order n and argument β . The Bessel function cannot be evaluated in closed form. However, many tables can be found that document its properties. See, for example, Ziemer and Tranter, PRINCIPLES OF COMMUNICATIONS, p. 117, which is hereby incorporated herein by reference.

The DDS 102 produces a test signal centered around some intermediate frequency (IF), for example, 20 MHZ. A local oscillator 103 is used to mix the IF signal to a desired output frequency between, for example, 5 MHZ and

1000 MHZ. A bandpass filter 104 filters out the undesired harmonics produced by the mixing process. The RF output 105 is coupled to the channel whose phase distortion characteristics are of interest. The first device can be controlled by a controller, which is typically microprocessor- or microcomputer-based, and can also include additional user interface, such as a keypad, a display, and the like.

A receiver according to the invention is illustrated in Fig. 2. The RF input frequency can vary from, for example, 5 MHZ to 1000 MHZ. A first mixer 201 mixes the input signal to the first IF. This signal is filtered by a bandpass filter 202, which has a bandwidth wide enough to pass the single tone FM information, and narrow enough to reject the unwanted images created by the first mixer 201. A second mixer 203 mixes the input first IF down to a second IF, which frequency an A/D converter 205 is capable of sampling either directly or as an aliased version of itself. A bandpass filter 204 filters the input signal to a bandwidth that is less than the A/D converter's sampling frequency F_s divided by 2 (that is, $< F_s/2$). The A/D converter 205 converts the input signal at the second IF to digital words and passes these digital words to a DSP 206. DSP 206 performs an N point fast Fourier transform (FFT), where N is chosen such that $F_s/N < \text{separation of the sidebands in the single tone FM signal}$. It is also helpful if the single tone FM modulation index (β), modulation frequency (F_m), and center frequency (F_c) are chosen such that the frequencies of interest fall on the centers of the DSP 206's FFT bins. The FFT bin centers appear at multiples of F_s/N between DC and $F_s/2$. The output from the FFT is in complex form and the phase of each bin is calculated by the equation:

$$\tan^{-1} (\text{imaginary part of (FFTbin}(n)) / \text{real part of (FFTbin}(n))), \text{ where } n=0,1,\dots,N/2-1.$$

The DSP 206 needs prior knowledge of what FFT bins the single tone FM sidebands of interest reside in. Using this knowledge the DSP 206 can compare the measured phase difference between the single tone FM sidebands of interest and compare the measurements with the ideal phase difference. Any discrepancies will be provided to a user interface 207 as phase distortion. As was the case with the first device, the second device can be controlled by a controller, which is typically microprocessor- or microcomputer-based, and can also include additional user interface, such as a keypad, a display, and the like.

One of the instruments illustrated in Figs. 1 and 2 can control the other. Illustratively the control can be effected through the network itself. In the case of a CATV system, a designated forward or return channel can carry the control signals. In such a situation, control can be initiated by either instrument. For example, the

5 transmitter of Fig. 1 can generate control signals in the forward, or downstream, channel, to synchronize the operation of the transmitter and the receiver of Fig. 2. This can be done using, for example, a table stored in each of the transmitter and receiver, and clocks in both to synchronize their stepping through a sequence of test frequencies. As another alternative, the synchronizing signal can be generated by the

10 receiver illustrated in Fig. 2, indicating that the receiver is ready to initiate the testing protocol.

As another example, the operation of the transmitter illustrated in Fig. 1 and the receiver illustrated in Fig. 2 can be controlled by one or the other of the transmitter and the receiver sending commands through the network indicating to

15 what frequency the other of the transmitter and receiver should next tune to transmit or to receive test signals. In addition, it should be recognized that the functions described for one or the other or both the transmitter and the receiver can be incorporated into instruments which perform one or more additional measurements or other functions, including, but not limited to, signal level measurements, leakage

20 measurements, digital signal measurements and impairments, and so on.

It should further be recognized that when the forward path is being tested for phase linearity, the transmitter illustrated in Fig. 1 will typically be stationary in the headend or at a hub, and the receiver illustrated in Fig. 2 will typically be transported around the network, for example, by a technician. However,

25 when the return path is being tested for linearity, the reverse will typically be the case. That is, the receiver illustrated in Fig. 2 will typically be stationary in the headend or at a hub and the transmitter illustrated in Fig. 1 typically will be transported around the network. In at least this latter situation, it will frequently be desirable to transmit either raw data or calculated phase shifts or some other index of the parameter being

30 investigated back to the transmitter. Therefore, it is desirable to incorporate into either one or both of the transmitter and receiver the ability to transmit raw phase shift data, calculated phase shifts or some other index of measured phase shift performance

of the network to the other of the transmitter and receiver. This may be accomplished simply by using the same facility which is used to generate and transmit the synchronizing pulses to transmit the data.

In another example, frequency shift keying can be used to transmit the data. This method is similar to that used for data transmission in, for example, the model 9580 SST and 9580 SSR, both of which are available from Trilithic, Inc., 9202 East 33rd Street, Indianapolis, Indiana 46236. The construction and operation of the 9580 SST and 9580 SSR are hereby incorporated herein by reference. The transmission can take place in any desired location(s) in the forward and/or return path(s). For example, if FSK is used for data transmission, (a) proprietary channel(s) can be set aside for data transmission. Alternatively, one of the existing, otherwise unused forward and/or return channels can be employed for data transmission. It should further be recognized that in a system in which both the transmitter and receiver are equipped with, for example, DSPs and DDSs capable of measuring phase relationships, that it is not necessary to control carefully the transmitted test signal. If the transmitter is capable of determining phase relationships, it can determine the phase relationships of the test signals it is transmitting and transmit this phase information, either as raw data, or as calculated phase shifts, or the like, to the receiver as a separate data packet for use by the receiver in calculating the network's phase shifts. It should also be noted that the amplitude of a channel's frequency response can be determined basically at the same time, simply by knowing or having data transmitted to indicate the amplitude of the transmitted test signal, and the variation of the amplitude of the frequency response can be determined relatively, even without knowing the amplitudes of the transmitted test signals.

In another embodiment of the first device illustrated in Fig. 3, the first device includes a first local oscillator 302, a first mixer 304, and a first filter 306 having a center frequency higher than the highest frequency to be transmitted, a second local oscillator 308, and a second mixer 310 for mixing the first IF to the desired output frequency. The output of the second mixer 310 is coupled to a second filter 312 which passes the desired frequency band, but rejects the high frequency mixing harmonics produced in the second mixer 310. The output of the second filter 312 is coupled to a variable gain amplifier 314 capable of amplifying the frequency band of

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interest to the desired level and a third filter 316 which further rejects unwanted high frequencies in the output. The first device also includes a user interface 318, which again typically includes a microprocessor- or microcomputer-based controller used to control the operation of device, as well as a keypad, a display, and the like.

5 Referring now to Figs. 1 and 4, in cases in which the frequency band of interest lies within the capabilities of the DDS 102 illustrated in Fig. 1, the first device may be simplified. Specifically, and with reference to Fig. 4, the first device can include a DDS 102' coupled to a variable gain amplifier 404 capable of amplifying the frequency band of interest to the desired level, and a first filter 104' having a cutoff
10 frequency higher than the highest frequency to be transmitted. Again as with the previous embodiments of both the transmitter and the receiver, the first device can be controlled by a controller 418, which is typically microprocessor- or microcomputer-based, and can also include additional user interface, such as a keypad, a display, and the like.

CLAIMS:

1. Apparatus for testing the phase linearity of a network, the apparatus including a first device for producing test signals spaced at known
5 frequency intervals and with predictable phase relationships and a second device for receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison, the first and second devices being adapted for coupling to the network.
- 10 2. The apparatus of claim 1 wherein the first device is capable of producing a first test signal having a first center frequency, first lower frequency spectral components, and first higher frequency spectral components, and the second device is capable of determining phase relationships for multiple spectral components of the first test signal.
- 15 3. The apparatus of claim 2 wherein the first device is capable of producing a second test signal having a second center frequency, second lower frequency spectral components, and second higher frequency spectral components, and the second device being capable of determining phase relationships for multiple spectral components of the second test signal.
- 20 4. The apparatus of claim 3 wherein the second device is capable of comparing phase relationships among multiple frequency components of the first and second test signals to determine the phase linearity of the network.
- 25 5. The apparatus of any preceding claim wherein the first device includes a generator for generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and the second device is capable of tuning across a range of frequencies produced by the generator and processing information from the tuned frequencies.
6. The apparatus of any preceding claim wherein the first device includes a first digital signal processor (DSP).
- 30 7. The apparatus of any preceding claim wherein the first device includes a direct digital synthesizer (DDS).

8. The apparatus of any preceding claim wherein the first device includes a first local oscillator, a first mixer coupled to receive an output of the first mixer, and a first filter coupled to receive an output of the first mixer.

5 9. The apparatus of any preceding claim wherein the second device includes a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

10 10. The apparatus of claim 9 wherein the second device further includes a digital signal processor (DSP) capable of fast Fourier transforming (FFTing) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

15 11. The apparatus of claim 9 or 10 wherein the second filter includes a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower frequency components of the test signals and higher than higher frequency components of the test signals.

20 12. The apparatus of any preceding claim wherein the second device is capable of employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

13. The apparatus of claim 12 wherein the second device includes a table containing values of the Bessel function for use in evaluating the Bessel function.

25 14. The apparatus of any preceding claim wherein at least one of the first and second devices includes a device for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

30 15. The apparatus of claim 14 wherein the first and second devices include information concerning the test frequencies, the synchronizing signals causing the first and second devices to transmit and receive multiple test frequencies in an established sequence.

16. The apparatus of claim 14 or 15 wherein said one of the first and second devices includes a device for transmitting the synchronizing signal on the network.

5 17. The apparatus of any preceding claim wherein at least one of the first and second devices is further adapted for transmitting information related to the determined phase relationships through the network to at least the other of the first and second devices.

10 18. The apparatus of claim 17 wherein the at least one of the first and second devices adapted for transmitting information related to the determined phase relationships through the network is adapted for transmitting the information through a network channel dedicated to the transmission of the information.

15 19. The apparatus of claim 18 wherein the at least one of the first and second devices adapted for transmitting the information through a network channel dedicated to the transmission of the information includes a device adapted for transmitting the information by frequency shift keying (FSK).

20 20. The apparatus of claim 17 wherein at least one of the first and second devices is further adapted for producing a signal for synchronizing the transmission by the first device and reception by the second device of the test signals, the information related to the determined phase relationships being transmitted in the same channel as the synchronizing signal.

21. The apparatus of any preceding claim wherein at least one of the first and second devices is further adapted for determining the amplitudes of the received test signals.

25 22. The apparatus of claim 21 wherein the at least one of the first and second devices adapted for determining the amplitudes of the received test signals is further adapted for comparing the amplitudes of the received test signals to each other to determine variation of the amplitude of the frequency response characteristic across the frequencies contained in the test signals.

30 23. The apparatus of claim 21 wherein the at least one of the first and second devices adapted for determining the amplitudes of the received test signals is further adapted for comparing the amplitudes of the received test signals to the amplitudes of the transmitted test signals.

24. The apparatus of claim 23 wherein the other of the at least one of the first and second devices is further adapted for transmitting an indication of the amplitudes of the transmitted test signals.

25. The apparatus of any preceding claim wherein the second
5 device further includes a user interface for displaying an output related to the determined phase relationship.

26. A method for testing the phase linearity of a network, the method including producing on the network test signals spaced at known frequency intervals and with predictable phase relationships, receiving the signals from the
10 network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network.

27. The method of claim 26 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships
15 includes producing a first test signal having a first center frequency, first lower frequency spectral components, and first higher frequency spectral components, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network
20 includes determining phase relationships for multiple spectral components of the first test signal.

28. The method of claim 27 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase relationships
25 includes producing a second test signal having a second center frequency, second lower frequency spectral components, and second higher frequency spectral components, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes determining phase relationships for multiple spectral
30 components of the second test signal.

29. The apparatus of claim 28 wherein the second device is capable of comparing phase relationships among multiple frequency components of the first and second test signals to determine the phase linearity of the network.

30. The method of claim 26, 27, 28 or 29 wherein producing on the
5 network test signals spaced at known frequency intervals and with predictable phase relationships includes generating at least one of a frequency modulation (FM) signal, an amplitude modulation (AM) signal and a phase modulation (PM) signal, and receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among
10 the signals, and determining from the comparison the phase linearity of the network tuning across a range of frequencies and processing information from the tuned frequencies.

31. The method of any of claims 26 to 30 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase
15 relationships includes producing on the network with a first digital signal processor (DSP) test signals spaced at known frequency intervals and with predictable phase relationships.

32. The method of any of claims 26 to 31 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase
20 relationships includes producing on the network with a direct digital synthesizer (DDS) test signals spaced at known frequency intervals and with predictable phase relationships.

33. The method of any of claims 26 to 32 wherein producing on the network test signals spaced at known frequency intervals and with predictable phase
25 relationships includes producing on the network using a first local oscillator, a first mixer coupled to receive an output of the first local oscillator, and a first filter coupled to receive an output of the first mixer test signals spaced at known frequency intervals and with predictable phase relationships.

34. The method of any of claims 26 to 33 wherein receiving the
30 signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving

the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a second mixer for mixing the received test signal, and a second filter coupled to the second mixer.

35. The method of any of claims 26 to 34 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network using a digital signal processor (DSP) capable of fast Fourier transforming (FFTing) a signal related to the output of the second filter to produce a signal related to the determined phase relationship.

36. The method of any of claims 26 to 35 wherein using a filter includes using a bandpass filter having a center frequency substantially equal to a center frequency of the test signals and a sufficiently narrow bandwidth to reject frequencies lower than lower frequency components of the test signals and higher than higher frequency components of the test signals.

37. The method of any of claims 26 to 36 wherein receiving the signals from the network, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining from the comparison the phase linearity of the network includes employing a Bessel function to perform at least one of receiving the signals, determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison.

38. The method of claim 37 wherein employing a Bessel function includes employing a table containing values of the Bessel function for use in evaluating the Bessel function.

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39. The method of any of claims 26 to 38 further including producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals.

40. The method of claim 39 further including providing in the first
5 and second devices information concerning the test frequencies to cause the first and second devices to transmit and receive multiple test frequencies in an established sequence.

41. The method of claim 39 including transmitting the synchronizing signal on the network.

10 42. The method of any of claims 26 to 41 further including transmitting information related to the determined phase relationships through the network from one of the first and second devices to the other of the first and second devices.

43. The method of claim 42 wherein transmitting information
15 related to the determined phase relationships through the network from one of the first and second devices to the other of the first and second devices includes transmitting the information through a network channel dedicated to the transmission of the information.

44. The method of claim 43 wherein transmitting the information
20 through a network channel dedicated to the transmission of the information includes transmitting the information by frequency shift keying (FSK).

45. The method of claim 42 further including producing with one of the first and second devices a signal for synchronizing the transmission by the first device and reception by the second device of the test signals, transmitting information
25 related to the determined phase relationships through the network including transmitting the information related to the determined phase relationships in the same channel as the synchronizing signal.

46. The method of any of claims 26 to 45 further including determining the amplitudes of the received test signals.

30 47. The method of claim 46 further including comparing the amplitudes of the received test signals to each other to determine variation of the

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amplitude of the frequency response characteristic across the frequencies contained in the test signals.

48. The method of claim 46 further including comparing the amplitudes of the received test signals to the amplitudes of the transmitted test signals.

49. The method of claim 48 further including transmitting an indication of the amplitudes of the transmitted test signals.

50. The method of any of claims 26 to 49 further including displaying an output related to the determined phase relationship.

DETERMINING PHASE LINEARITY IN CATV DISTRIBUTION SYSTEMAbstract of the Disclosure

- 5 A method for testing the phase linearity of a network (CATV system) includes producing on the network (CATV system) test signals spaced at known frequency intervals and with predictable phase relationships, receiving the signals from the network (CATV system), determining their phase relationships, comparing the determined phase relationships to expected phase relationships among the signals,
- 10 and determining from the comparison the phase linearity of the network (CATV system). Apparatus for testing the phase linearity of a network (CATV system) includes a first device (Fig. 1) for producing test signals spaced at known frequency intervals and with predictable phase relationships and a second device (Fig. 2) for receiving the signals, determining their phase relationships, comparing the determined
- 15 phase relationships to expected phase relationships among the signals, and determining the phase linearity from the comparison. The first (Fig. 1) and second (Fig. 2) devices are adapted for coupling to the network (CATV system).

1/2

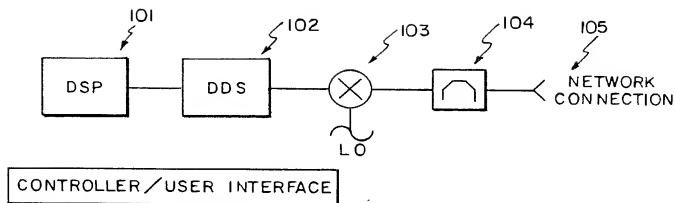


FIG 1

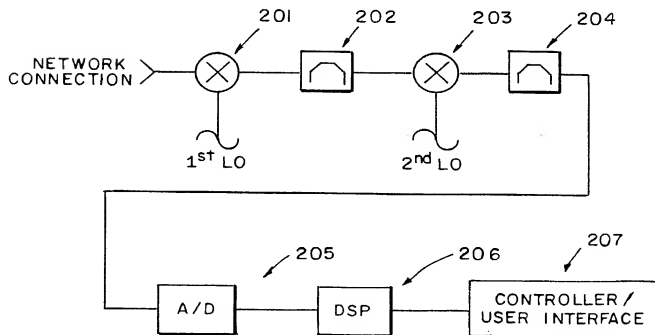


FIG 2

2 / 2

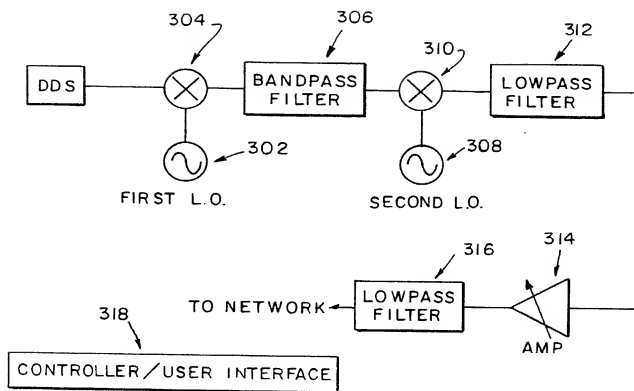


FIG. 3

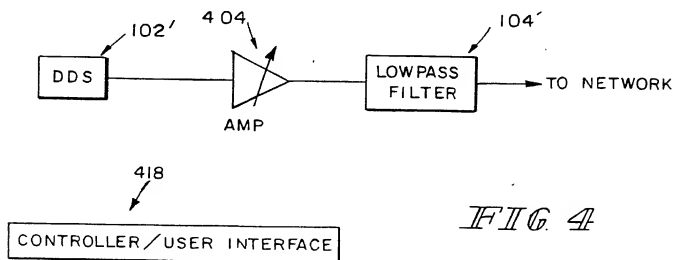


FIG. 4

Express Mail No.: EL23004856US

10088127 .03.14.02

Attorney Docket No.: 6573-

DECLARATION AND POWER OF ATTORNEY -- PATENT APPLICATION

As a below named inventor, I hereby declare that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought in the application entitled:

DETERMINING PHASE LINEARITY IN CATV DISTRIBUTION SYSTEMS, the specification of which (check one)

☒ is attached hereto
☒ was filed on 15 September 2000 (15.09.00) as
United States Application Serial No. _____ or
PCT International Application No. PCT/US00/
and was amended on _____
(if applicable)

I hereby declare that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate on which priority is claimed (as listed below) and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number) _____	(Country) _____	(Day/Month/Year Filed) _____	Yes	No
(Number) _____	(Country) _____	(Day/Month/Year Filed) _____	Yes	No

I hereby claim benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

60/154,131 (15.09.99)
Application Number 15 September 1999
Filing Date

Application Number

Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(b) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No. _____

Filing Date _____

Status-patented, pending, abandoned

Application Serial No. _____

Filing Date _____

Status-patented, pending, abandoned

I hereby appoint William R. Coffey, Reg. No. 24023; Richard D. Conard, Reg. No. 27321; Steven R. Lammert, Reg. No. 27653; Richard A. Rezek, Reg. No. 30796; Nancy J. Harrison, Reg. No. 27083; Dilip A. Kulkarni, Reg. No. 27510; David B. Quick, Reg. No. 31993; Jill T. Powlick, Reg. No. 42088; Arland T. Stein, Reg. No. 25062; William B. Richards, Reg. No. 44301; Christopher E. Haigh, Reg. No. 46377; James R. Sweeney II, Reg. No. 45670; Perry Palan, Reg. No. 26213; Mark M. Newman, Reg. No. 31472; Bobby B. Gillenwater, Reg. No. 31105; Paul B. Hunt, Reg. No. 37154; ~~XXXXXXXXXXXXXXXXXXXX~~
Alice O. Martin, Reg. No. 35601; and Gregory S. Cooper, Reg. No. 40965, as attorneys of record with full power of substitution and

revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith, and I specify that communications regarding the application be directed to:

BARNES & THORNBURG
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

100

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